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Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 4

In the Application of BURKOTH et al.

Serial No.: 09/216,641

Art Unit: 1636

Filed: 17 December 1998

Examiner: Q. Nguyen

Title: PARTICLE DELIVERY TECHNIQUES

U.S. PATENT DOCUMENTS

| Exam.Init | Ref. Desig. | Document No. | Date | Name | Class | Sub Class | Filing Date |
|-----------|-------------|--------------|------------|-------------------|-------|-----------|-------------|
| QN | AA-1 | 4,774,085 | 9/27/1988 | Fidler | | | |
| | AB-1 | 4,945,050 | 7/31/1990 | Sanford et al. | | | |
| | AC-1 | 5,036,006 | 7/30/1991 | Sanford et al. | | | |
| | AD-1 | 5,053,389 | 10/1/1991 | Balschmidt et al. | | | |
| | AE-1 | 5,120,657 | 6/9/1992 | McCabe et al. | | | |
| | AF-1 | 5,147,291 | 9/15/1992 | Cukier | | | |
| | AG-1 | 5,149,655 | 9/22/1992 | McCabe et al. | | | |
| | AH-1 | 5,179,022 | 1/12/1993 | Sanford et al. | | | |
| | AI-1 | 5,204,253 | 4/20/1993 | Sanford et al. | | | |
| | AJ-1 | 5,371,015 | 12/6/1994 | Sanford et al. | | | |
| | AK-1 | 5,399,328 | 3/21/1995 | Doetsch et al. | | | |
| | AL-1 | 5,478,744 | 12/26/1995 | Sanford et al. | | | |
| | AM-1 | 5,584,807 | 12/17/1996 | McCabe | | | |
| | AN-1 | 5,865,796 | 2/2/1999 | McCabe | | | |
| | AO-1 | 5,899,880 | 5/4/1999 | Bellhouse et al. | | | |
| QN | AP-1 | 6,004,286 | 12/21/1999 | Bellhouse et al. | | | |

Examiner:

Date Considered:

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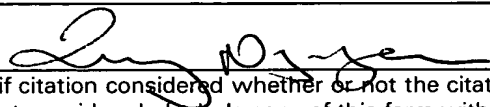
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U.S. PATENT DOCUMENTS (cont.)

| Exam.Init | Ref. Desig. | Document No. | Date | Name | Class | Sub Class | Filing Date |
|-----------|-----------------|----------------------|---------------------|-----------------------------|----------------------|-----------|-------------|
| QN | AC-1 | 6,010,478 | 1/4/2000 | Bellhouse et al. | Duplicate | | |
| | AR-1 | 6,013,050 | 1/11/2000 | Bellhouse et al. | | | |
| | AS-1 | 6,168,587 | 1/2/2001 | Bellhouse et al. | | | |
| QN | AT-1 | 6,331,310 | 12/18/2001 | Roser et al. | | | |
| | | | | | | | |

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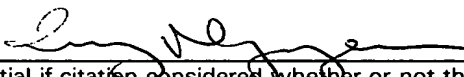
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Title: PARTICLE DELIVERY TECHNIQUES

FOREIGN PATENT DOCUMENTS

| Exam. Init. | Ref. Desig. | Document No. | Publication Date | Country or Patent Office | Class | Sub Class | Translation YES NO | |
|----------------|----------------|----------------|---------------------|-----------------------------|-------|--------------|-----------------------|----|
| QN | AU-1 | WO 92/04439 ✓ | 3/19/1992 | PCT | | | | |
| | AV-1 | WO 94/23738 ✓ | 10/27/1994 | PCT | | | | |
| | AW-1 | WO 94/24263 → | 10/27/1994 | PCT | | | | |
| | AX-1 | WO 96/04947 ✓ | 2/22/1996 | PCT | | | | |
| | AY-1 | WO 96/12513 ✓ | 5/2/1996 | PCT | | | | |
| | AZ-1 | WO 96/20022 ✓ | 7/4/1996 | PCT | | | | |
| | AA-2 | WO 96/25190 ✓ | 8/22/1996 | PCT | | | | |
| QN | AB-2 | WO 97/34652 ✓ | 9/25/1997 | PCT | | | | |
| QN | AC-2 | EP 0446597A1 ✓ | 9/18/1991 | EPO | | | | XX |
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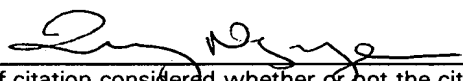
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OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

| Exam. Init. | Ref. Desig. | Description |
|----------------|----------------|---|
| QN | AD-2 | Hofmann et al. (1995) <i>Bioelectrochemistry and Bioenergetics</i> 38:209-222 |
| | | |
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